

# Analytic Pontryagin Duality

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Oct 1, 2019

## Objective

Let  $X$  be a smooth compact manifold. The Universal Coefficient Theorem for  $K$ -theory with coefficients in  $\mathbb{R}/\mathbb{Z}$  asserts that there is an isomorphism

$$K^0(X, \mathbb{R}/\mathbb{Z}) \rightarrow \text{Hom}(K_0(X), \mathbb{R}/\mathbb{Z}).$$

Using a geometric model of  $K^0(X, \mathbb{R}/\mathbb{Z})$  and  $K_0(X)$ , we study an explicit **analytic** pairing implementing this map of the following form

$$\underbrace{\bar{\eta}(\not{D})}_{\text{analytic term}} - \underbrace{\int_M x \bmod \mathbb{Z}}_{\text{topological term}}.$$

# The group $K^0(X, \mathbb{R}/\mathbb{Z})$

## Definition ( $\mathbb{R}/\mathbb{Z}$ $K^0$ -cocycles)

Let  $X$  be a smooth compact manifold. Define a  $\mathbb{R}/\mathbb{Z}$   $K^0$ -cocycle over  $X$  as a triple

$$(g, (d, g^{-1}dg), \mu)$$

where

- $g : X \rightarrow U(N)$  is a smooth map, i.e. a  $K^1$ -representative of  $X$  ;
- $(d, g^{-1}dg)$  is a pair of flat connections on the trivial bundle  $\tau$  ;
- $\mu \in \Omega^{\text{even}}(X)/\text{im}(d)$  satisfying

$$d\mu = ch(g, d) - \text{Tr}(g^{-1}dg)$$

Here,  $ch(g, d)$  is the odd Chern character defined by

$$ch(g, d) = \sum_{n=0}^{\infty} \frac{n!}{(2n+1)!} \text{Tr}(g^{-1}dg)^{2n+1}.$$

## Definition ( $\mathbb{R}/\mathbb{Z}$ $K^0$ -relation)

Let  $\mathcal{E}_i = (g_i, (d, g_i^{-1} dg_i), \mu_i)$  where  $g_i : X \rightarrow U(N_i)$ , for  $i = 1, 2, 3$ . The  $\mathbb{R}/\mathbb{Z}$   $K^0$ -relation is given by  $\mathcal{E}_2 = \mathcal{E}_1 + \mathcal{E}_3$  whenever we have  $g_2 \simeq g_1 \oplus g_3$  (i.e.  $g_2$  is homotopic to the unitary matrix  $\text{Diag}(g_1, g_3)$ ) and

$$\mu_2 = \mu_1 + \mu_3 - \text{Tch}(g_1, g_2, g_3).$$

Here,  $\text{Tch}(g_1, g_2, g_3)$  denotes the transgression form of the odd Chern character which satisfies

$$d\text{Tch}(g_1, g_2, g_3) = ch(g_1) - ch(g_2) + ch(g_3).$$

## Definition ( $\mathbb{R}/\mathbb{Z}$ $K^0$ -group)

The group  $K^0(X, \mathbb{R}/\mathbb{Z})$  consists of all  $\mathbb{R}/\mathbb{Z}$   $K^0$ -cocycles with zero virtual trace modulo the  $\mathbb{R}/\mathbb{Z}$   $K^0$ -relation.

## Baum-Douglas Geometric $K$ -homology

Let  $X$  be a smooth compact manifold. The (even) Baum-Douglas geometric  $K$ -homology  $K_0(X)$  of  $X$  is a group generated by geometric  $K$ -cycles

$$(M, E, f)$$

where

- $M$  is an even-dimensional smooth closed  $\text{Spin}^c$ -manifold,  $E$  is a complex vector bundle over  $M$  and  $f : M \rightarrow X$  is a smooth map

modulo the following relation

- Direct sum-disjoint union :

$$(M, E_1 \oplus E_2, f) \sim (M, E_1, f) \sqcup (M, E_2, f)$$

- Bordism :  $\exists(W, F, \varphi)$  s.t.

$$(\partial W, F|_{\partial W}, \varphi|_{\partial W}) \sim (M_1, E_1, f_1) \sqcup (-M_2, E_2, f_2)$$

- Vector bundle modification :

$$(M, E, f) \sim (\Sigma H, \beta_H \otimes \rho^* E, f \circ \rho)$$

## Analytic term : the Dai-Zhang eta-invariant

Let  $(M, E, f)$  be an even  $K$ -cycle over  $X$ . Let  $h = g \circ f : M \rightarrow U(N)$  be a  $K^1$ -representative of  $M$ .

- Consider the twisted Dirac operator  $\not{D}_{E \otimes \tau, M}$  acting on  $L^2(S \otimes E \otimes \tau)$ . Extend the bundle data trivially to the cylinder  $M \times [0, 1]$ .

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- Over  $M \times [0, 1]$  consider the Dirac-type operator

$$\not{D}_{E \otimes \tau, M \times [0, 1]}^{\psi, h} = \not{D}_{E \otimes \tau} + (1 - \psi)h^{-1}[\not{D}_{E \otimes \tau}, h].$$

Let  $P^\partial = P_{>0, M} + P_{\mathcal{L}} : L^2(S \otimes E \otimes \tau|_M) \rightarrow L^2_{>0}(S \otimes E \otimes \tau|_M) \oplus \mathcal{L}$  be the modified APS projection, where  $\mathcal{L} \in \text{Lag}(\ker(\not{D}_M^{E \otimes \tau}))$ .

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- Equip at both ends respectively with the boundary conditions

$$\begin{cases} P^\partial & \text{on } M \times \{0\} \\ \text{Id} - h^{-1}P^\partial h & \text{on } M \times \{1\}. \end{cases}$$

Then,  $(\not{D}_{E \otimes \tau, M \times [0, 1]}^{\psi, h}, P^\partial, \text{Id} - h^{-1}P^\partial h)$  forms an elliptic self-adjoint boundary problem.



Its eta function is defined by

$$\eta(\not\partial_{E \otimes \tau, M \times [0,1]}^{\psi,h}, \mathbf{s}) = \sum_{\lambda \neq 0, \lambda \in \text{spec}(\not\partial)} \frac{\text{sgn}(\lambda)}{|\lambda|^s}$$

for  $\text{Re}(s) \gg 0$ . Take  $\eta(\not\partial_{E \otimes \tau, M \times [0,1]}^{\psi,h}) := \eta(\not\partial_{E \otimes \tau, M \times [0,1]}^{\psi,h}, \mathbf{0})$ .

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## The Dai-Zhang eta-invariant

$$\hat{\eta}(M, E, h) = \tilde{\eta}(\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}) - \text{sf}\{\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}(t)\}_{t \in [0,1]}$$

- $\tilde{\eta}(\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}) = \frac{1}{2}(\dim \ker \not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h} + \eta(\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}))$ .
- sf denotes the spectral flow of  $\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}(t)$  for  $t \in [0, 1]$ .

In our case, we take

$$\bar{\eta}_{DZ}(\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}) \equiv \hat{\eta}(M, E, h) \pmod{\mathbb{Z}}$$

## Analytic pairing $K_0(X) \times K^0(X, \mathbb{R}/\mathbb{Z})$

### Theorem

Let  $M$  be an even dimensional closed  $\text{Spin}^c$  manifold,  $E \rightarrow M$  be a complex vector bundle. Let  $X$  be a smooth compact manifold, with  $f : M \rightarrow X$  a smooth map. Let  $\tau$  be the trivial bundle acted on by  $h = g \circ f : M \rightarrow U(N)$ . Let  $\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}$  be the Dirac-type operator twisted by  $E$  and  $\tau$  extended on the cylinder  $M \times [0, 1]$ , defined by

$$\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h} = \not{D}_{E \otimes \tau} + (1 - \psi)h^{-1}[\not{D}_{E \otimes \tau}, h].$$

Let  $\bar{\eta}_{DZ}(\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h})$  be its reduced eta-invariant. Then, the analytic pairing  $K_0(X) \times K^0(X, \mathbb{R}/\mathbb{Z}) \rightarrow \mathbb{R}/\mathbb{Z}$  given by

$$\begin{aligned} & \langle (M, E, f), (g, (d, g^{-1}dg), \mu) \rangle \\ &= \bar{\eta}_{DZ}(\not{D}_{E \otimes \tau, M \times [0,1]}^{\psi, h}) - \int_M f^* \mu \wedge ch(E) \wedge Td(M) \bmod \mathbb{Z} \end{aligned}$$

is well-defined and non-degenerate.

## Proof Sketch.

For the well-definedness, we verify that the analytic pairing formula

- is independent of the Riemannian metric of the manifold  $M$  and Hermitian metric and connection on  $E$ ; (consider the cylinder connecting  $M_i = (M, g_i)$  and  $(E_i, \nabla^{E_i})$ , then take the difference of the two pairings and apply the Dai-Zhang Toeplitz index theorem on cylinder and Stokes theorem)
- respects the Baum-Douglas  $K$ -homology relations ; (For vector bundle modification, the non-trivial step is to show that the equality

$$\bar{\eta}_{DZ}(M, E, f) = \bar{\eta}_{DZ}(\Sigma H, \beta_{\Sigma H} \otimes \rho^* E, f \circ \rho)$$

holds.)

- respects the  $\mathbb{R}/\mathbb{Z}$   $K^0$ -relation.

## Continued.

Idea : apply the argument of the Mayer-Vietoris sequence in  $K$ -theory and the Five lemma for the non-degeneracy. Let  $X = U \cup V$ , consider

$$\begin{array}{ccccccc}
 \longrightarrow & K_c^0(U \cap V, \mathbb{R}/\mathbb{Z}) & \longrightarrow & K_c^0(U, \mathbb{R}/\mathbb{Z}) \oplus K_c^0(V, \mathbb{R}/\mathbb{Z}) & \longrightarrow & K^0(U \cup V, \mathbb{R}/\mathbb{Z}) & \longrightarrow \\
 & \downarrow & & \downarrow & & \downarrow & \\
 \rightarrow & \text{Hom}(K_0(U \cap V), \mathbb{R}/\mathbb{Z}) & \rightarrow & \text{Hom}(K_0(U), \mathbb{R}/\mathbb{Z}) \oplus \text{Hom}(K_0(V), \mathbb{R}/\mathbb{Z}) & \rightarrow & \text{Hom}(K_0(U \cup V), \mathbb{R}/\mathbb{Z}) & \rightarrow
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 & \downarrow & & \downarrow & & \downarrow & \\
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 \end{array}$$

- It suffices to consider the case of  $U \cong \mathbb{R}^n$  for **even**  $n \in \mathbb{Z}_+$ .
- The relevant  $K$ -homology is  $K_0(\mathbb{R}^n) \cong \tilde{K}_0(S^n)$ , generated by  $(S^n, \beta, \text{Id})$ , where  $\beta$  is the Bott bundle.
- We verify that the map  $\tilde{K}^0(S^n, \mathbb{R}/\mathbb{Z}) \rightarrow \text{Hom}(\tilde{K}_0(S^n), \mathbb{R}/\mathbb{Z})$  implemented by

$$\bar{\eta}(\partial_{S^n \times [0,1]}^\beta) - \int_{S^n} (\mu - \text{ch}(\beta)) \wedge \text{ch}(\beta) \wedge \text{Td}(S^n) \text{ mod } \mathbb{Z}$$

is an isomorphism.

- Apply induction on the size of open covers.

## Analytic Pairing $H_2(X) \times H^2(X, \mathbb{R}/\mathbb{Z})$

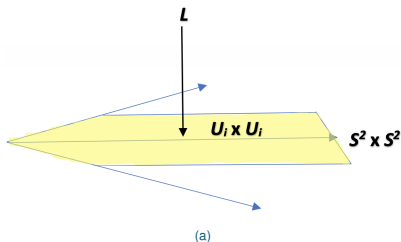
- Using the identification  $H_2(X) \cong \Omega_2^{or}(X)$ , we can consider a representative in  $H_2(X)$  as  $[S^2 \xrightarrow{f} X]$ .
- The pairing reduces to  $H_2(S^2) \times H^2(S^2, \mathbb{R}/\mathbb{Z}) \rightarrow \mathbb{R}/\mathbb{Z}$ .
- Not clear how to twist a Dirac operator by a (flat) gerbe with connection.

### Lemma

An alternative description of  $H^2(S^2, \mathbb{R}/\mathbb{Z})$  is given by

$$H^2(S^2, \mathbb{R}/\mathbb{Z}) \cong H^2(S^2, \mathbb{R}) / \tilde{H}^2(S^2, \mathbb{Z})$$

whose representative is a pure Hermitian local line bundle  $L$  *ala* Melrose [10], with the curvature  $B/2\pi$ .



Together with a smooth composition isomorphism over  $N_\epsilon(\text{Diag}_3)$

$$H : \pi_3^* L \otimes \pi_1^* L \xrightarrow{\sim} \pi_2^* L.$$

$L \cong \mathcal{L}|_{U_i \times \{\rho_i\}} \otimes \mathcal{L}^{-1}|_{\{\rho_i\} \times U_i}$  over  $U_i \times U_i$  using  $H$ .  
mult. Herm. struc.

$$\langle u, v \rangle = \sum_i (\rho_i \times \rho_i) \langle u, v \rangle_i$$

- $L$  is only defined locally on some neighbourhood of the diagonal of  $S^2$ . It has a multiplicative unitary connection  $\nabla^L$ , compatible with the multiplication Hermitian structure.
- The appropriate Dirac operator is the **projective Dirac operator**  $\not{D}_{S^2, \text{proj}}^{L, \pm} \in \text{Diff}^1(S^2; \mathcal{S}^\pm \otimes L, \mathcal{S}^\mp \otimes L)$ , introduced by Mathai, Melrose and Singer [5,6]. It is an elliptic projective differential operator given by

$$\not{D}_{S^2, \text{proj}}^L := cI \cdot \nabla_{\text{left}}^{S \otimes L}(\kappa_{\text{Id}})$$

whose kernel is supported within the nbhd where  $L$  exists.



## Subtleties

- $\mathcal{D}_{S^2, \text{proj}}^L$  does not have a spectrum  $\rightarrow \bar{\eta}$ ?
- $S^2$  is even dimensional  $\rightarrow$  projective Dai-Zhang eta-invariant?

## Subtleties

- $\not\partial_{S^2, \text{proj}}^L$  does not have a spectrum  $\rightarrow \bar{\eta}$ ?
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### Definition

$$\eta_{DZ}(\not\partial_{S^2, \text{proj}}^L) := \eta_{APS}(\not\partial_{S^2 \times S^1, \text{proj}}^L).$$

To calculate the RHS, consider the sharp product

$$\not\partial_{S^2 \times S^1, \text{proj}}^L = \not\partial_{S^2, \text{proj}}^L \# \not\partial_{S^1} = \begin{pmatrix} \not\partial_{S^1} \otimes 1 & 1 \otimes \not\partial_{S^2, \text{proj}}^{L, -} \\ 1 \otimes \not\partial_{S^2, \text{proj}}^{L, +} & -\not\partial_{S^1} \otimes 1 \end{pmatrix}.$$

The operator  $\not\partial_{S^2 \times S^1, \text{proj}}^L$  is elliptic and self-adjoint, it is still projective and does not have a spectrum. So, we define

### Definition

$$\eta_{APS}(\not\partial_{S^2 \times S^1, \text{proj}}^L) := \text{Ind}(\not\partial_{S^2, \text{proj}}^{L, +}) \cdot \eta_{APS}(\not\partial_{S^1}).$$

- $\text{Ind}(\not{D}_{S^2, \text{proj}}^{L,+}) = \text{Tr}(\not{D}_{S^2, \text{proj}}^{L,+} Q - 1) - \text{Tr}(Q \not{D}_{S^2, \text{proj}}^{L,+} - 1)$  is well-defined.
- $\eta_{\text{APS}}(\not{D}_{S^1})$  is the usual APS eta-invariant.

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Since  $\eta_{\text{APS}}(\not\partial_{S^1}) = 0$ , so is  $\eta_{\text{APS}}(\not\partial_{S^2 \times S^1, \text{proj}}^L)$ . On the other hand, due to projectiveness, kernel of  $\not\partial_{S^2 \times S^1, \text{proj}}^L$  is not well-defined.

### Assumption

$$h(\not\partial_{S^2 \times S^1, \text{proj}}^L) = \dim \ker(\not\partial_{S^1}) = 1.$$

Its reduced eta-invariant is

$$\bar{\eta}_{\text{APS}}(\not\partial_{S^2 \times S^1, \text{proj}}^L) = \frac{\eta(\not\partial_{S^2 \times S^1, \text{proj}}^L) + h(\not\partial_{S^2 \times S^1, \text{proj}}^L)}{2} \bmod \mathbb{Z} = \frac{1}{2} \bmod \mathbb{Z}.$$

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Then, the analytic PD pairing in  $H^2$  is

$$\bar{\eta}_{\text{DZ}}(\not\partial_{S^2, \text{proj}}^L) - \int_{S^2} \frac{B}{2\pi} \bmod \mathbb{Z}.$$

where  $B/2\pi$  is the first Chern class of  $L$ .

## Quick Recap

- We propose a geometric model of the group  $K^0(X, \mathbb{R}/\mathbb{Z})$  which can be incorporated into the construction of the Dai-Zhang eta-invariant.
- By pairing it with the usual even Baum-Douglas  $K$ -homology, we formulate an analytic pairing

$$\bar{\eta}_{DZ}(\not{\partial}_{E \otimes \tau_h, M \times [0,1]}^{\psi, h}) - \int_M f^* \mu \wedge ch(E) \wedge Td(M) \bmod \mathbb{Z}$$

which is well-defined and non-degenerate.

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- By pairing it with the usual even Baum-Douglas  $K$ -homology, we formulate an analytic pairing

$$\bar{\eta}_{DZ}(\not{D}_{E \otimes \tau_n, M \times [0,1]}^{\psi, h}) - \int_M f^* \mu \wedge ch(E) \wedge Td(M) \bmod \mathbb{Z}$$

which is well-defined and non-degenerate.

- This is a direct (even) analog to Lott's  $K^1$ -pairing [6], thus closes the gap. As a result, it is reasonable to believe that this analytic  $K^0$  pairing formula describes the Aharonov-Bohm effect of  $D$ -branes in Type-IIB String theory.
- We study one special case of the analytic Pontryagin duality pairing in  $H^2(X, \mathbb{R}/\mathbb{Z})$  in which projective Dirac operators come into the picture.

Thank you for listening !



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# Appendix

# Analytic Pontryagin duality in $H^1$

# Analytic Pairing $H_1(X) \times H^1(X, \mathbb{R}/\mathbb{Z})$

## Classical pairing

$$H_1(X) \times H^1(X, \mathbb{R}/\mathbb{Z}) \rightarrow \mathbb{R}/\mathbb{Z}$$

$$([S^1 \xrightarrow{\gamma} X], A) \mapsto \int_{S^1} \gamma^* A \bmod \mathbb{Z}.$$

## Analytic description

Let  $\not{D}_{S^1}$  be the usual Dirac operator on the circle  $S^1$

$$\not{D}_{S^1} = -i \frac{d}{d\theta}$$

whose kernel has dimension one. Let

$$L = \tilde{X} \times_{\rho} U(1)$$

be a flat line bundle over  $X$ , with connection  $\nabla_A^L$ , where  $\rho : \pi_1(X) \rightarrow U(1)$  is a unitary representation.

(Continued.)

Passing through  $\gamma : S^1 \rightarrow X$  defines  $(\tilde{L}, \nabla^{\tilde{L}})$  over  $S^1$

$$\tilde{L} = \mathbb{R} \times_{\rho'} U(1), \quad \rho' = \gamma_* \circ \rho,$$

with a generating section  $v_{\rho'}(\theta) = \exp(2\pi i a \theta)$ , for  $a \in (0, 1)$ .

The twisted-by- $\tilde{L}$  Dirac operator  $\not{D}_{S^1}^{\tilde{L}}$  has eigenvalues  $\lambda_n = n + a$ . Its Atiyah-Patodi-Singer (APS) eta-invariant is  $1 - 2a$ . Then, the analytic pairing in  $H^1$  is given by

$$\bar{\eta}(\not{D}_{S^1}^{\tilde{L}}) - \int_{S^1} \gamma^* A \text{ mod } \mathbb{Z}.$$

## Remark

This is a special case of the analytic pairing in  $K^1$  :

$$(S^1, \tau, \gamma) \in K_1(X), (L, \nabla^L, \omega) \in K^1(X, \mathbb{R}/\mathbb{Z})$$

with  $d\omega = c_1(\nabla^L) = 0$ .

# The counterpart : Analytic $K^1$ -pairing

## Theorem

Let  $M$  be an odd dimensional closed  $\text{Spin}^c$  manifold, let  $E$  be a complex vector bundle over  $M$ . Let  $X$  be a smooth compact manifold with  $f : M \rightarrow X$  a smooth map. Let  $\not{D}_M^{f^*V \otimes E}$  be the twisted Dirac operator, locally given by

$$\not{D}_M^{f^*V \otimes E} = \sum_i c(e_i) \circ \nabla_{e_i}^{S \otimes f^*V \otimes E}$$

for an o/n basis  $\{e_i\}$ . Then, the analytic pairing

$$K_1(X) \times K^1(X, \mathbb{R}/\mathbb{Z}) \rightarrow \mathbb{R}/\mathbb{Z}$$

given by (Lott [4])

$$\bar{\eta}_{APS}(\not{D}_M^{f^*V \otimes E}) - \int_M f^* \omega \wedge \text{ch}(E) \wedge \text{Td}(M) \text{ mod } \mathbb{Z}$$

is well-defined and non-degenerate.

## Proof Sketch.

Idea : MV sequence in  $K^1$  + the Five Lemma. Consider the part

$$\begin{array}{ccccccc}
 \longrightarrow & K_c^1(U \cap V, \mathbb{R}/\mathbb{Z}) & \longrightarrow & K_c^1(U, \mathbb{R}/\mathbb{Z}) \oplus K_c^1(V, \mathbb{R}/\mathbb{Z}) & \longrightarrow & K^1(U \cup V, \mathbb{R}/\mathbb{Z}) & \longrightarrow \\
 & \downarrow & & \downarrow & & \downarrow & \\
 \rightarrow & \text{Hom}(K_1(U \cap V), \mathbb{R}/\mathbb{Z}) & \rightarrow & \text{Hom}(K_1(U), \mathbb{R}/\mathbb{Z}) \oplus \text{Hom}(K_1(V), \mathbb{R}/\mathbb{Z}) & \rightarrow & \text{Hom}(K_1(U \cup V), \mathbb{R}/\mathbb{Z}) & \rightarrow
 \end{array}$$

- Consider the case of  $U \cong \mathbb{R}^n$  for **odd**  $n \in \mathbb{Z}_+$ . The relevant  $K$ -homology is  $K_1(\mathbb{R}^n) \cong K_1(S^n)$ , generated by  $(S^n, \tau, \text{Id})$ .
- We verify that the map  $K^1(S^n, \mathbb{R}/\mathbb{Z}) \rightarrow \text{Hom}(K_1(S^n), \mathbb{R}/\mathbb{Z})$  implemented by

$$\bar{\eta}(\not\partial_{S^n}) - \int_{S^n} \omega \wedge \text{ch}(\tau) \wedge \text{Td}(S^n) \text{ mod } \mathbb{Z}$$

is an isomorphism.

- The topological term is dominated by  $\text{rk}(\tau) \int_{S^n} \omega \text{ mod } \mathbb{Z}$ .
- The reduced APS eta-invariant is given in the table below.

$n$	$\eta(\not\partial_{S^n})$	$\dim \ker(\not\partial_{S^n})$	$\bar{\eta}(\not\partial_{S^n})$
1	0	1	$\frac{1}{2}$
$\geq 3$	0	0	0