

# The Dirac operator under collapse to a smooth manifold

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# Gromov-Hausdorff convergence

Let  $\mathcal{M}(n, d)$  be the space of all closed  $n$ -dimensional Riemannian manifolds with  $|\sec^M| \leq 1$  and  $\text{diam}(M) \leq d$ .

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Any sequence  $(M_i, g_i)_{i \in \mathbb{N}}$  contains a subsequence that converges with respect to the Gromov-Hausdorff distance to a compact metric space  $B$ .



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## Assumption

The limit space  $B$  is a smooth manifold.

# Structure of Collapse

## Theorem (Cheeger-Fukaya-Gromov '92)

Let  $(M_i, g_i)_{i \in \mathbb{N}}$  be a convergent sequence in  $\mathcal{M}(n, d)$  with a smooth limit space  $(B, h)$ . Then for all  $i$  sufficiently large there are metrics  $\tilde{g}_i$  on  $M_i$  and  $\tilde{h}_i$  on  $B$  such that

$$\lim_{i \rightarrow \infty} \|\tilde{g}_i - g_i\|_{C^1} = 0, \quad \lim_{i \rightarrow \infty} \|\tilde{h}_i - h\|_{C^1} = 0,$$

and  $f_i : (M_i, \tilde{g}_i) \rightarrow (B, \tilde{h}_i)$  is a *Riemannian affine fiber bundle*,

# The Dirac operator

Let  $(M, g)$  be a spin manifold and  $\Sigma M$  the spinor bundle. The Dirac operator acts on spinors  $\varphi \in \Gamma(\Sigma M)$  as

$$D\varphi = \sum_{i=1}^n \gamma(e_i) \nabla_{e_i} \varphi,$$

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## Proposition (Lott '02)

Let  $(M, g)$  be a closed spin manifold and  $(g_i)_{i \in \mathbb{N}}$  be a sequence of Riemannian metrics such that  $\lim_{i \rightarrow \infty} \|g_i - g\|_{C^1} = 0$ . Then  $\lim_{i \rightarrow \infty} \sigma(D_i) = \sigma(D)$ .



# Spin structures

Let  $f : (M, g) \rightarrow (B, h)$  be a Riemannian affine fiber bundle and assume that  $(M, g)$  has a fixed spin structure.

There is an induced spin structure on each fiber  $f^{-1}(p)$ ,  $p \in B$ .  
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## Example

- $f : S^5 \rightarrow \mathbb{C}P^2$ . Here  $f^*w_2(\mathbb{C}P^2) = 0$ , but  $w_2(\mathbb{C}P^2) \neq 0$ .
- $S^1 \times S^2 / \mathbb{Z}_2 \rightarrow \mathbb{R}P^2$ , where  $(x, y) \sim (x', y')$  iff  $x = \bar{x}'$ ,  $y = -y'$ .

## Affine parallel spinors

The induced metrics on the fibers of a Riemannian affine fiber bundle  $f : (M, g) \rightarrow (B, h)$  are parallel with respect to  $\nabla^{\text{aff}}$ .

$\implies$  There exists an induced connection  $\nabla^{\text{aff}}$  on  $\Sigma M$ .

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The Dirac operator  $D$  acts diagonal wrt. to the splitting

$$L^2(\Sigma M) = S^{\text{aff}} \oplus (S^{\text{aff}})^{\perp}.$$

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## Proposition (R. '18)

There exists a Clifford bundle  $\mathcal{P}$  over  $B$  and an isometry

$$Q : S^{\text{aff}} \rightarrow L^2(\mathcal{P}).$$

## Previous results I

### Theorem (Ammann '98)

Let  $(f_i : (M_i, g_i) \rightarrow (B, h_i))_{i \in \mathbb{N}}$  be a collapsing sequence of Riemannian  $S^1$ -bundles. Assume that  $(M_i, g_i)$  is a closed  $(n + 1)$ -dimensional spin manifold.

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- $\|l_i F_i\|_\infty \xrightarrow{i \rightarrow \infty} 0$ ,
- $\limsup_{i \rightarrow \infty} \|\text{grad } l_i\|_\infty < \frac{1}{2}$ ,

then  $\lim_{i \rightarrow \infty} \sigma(D_{|(S_i^{\text{aff}})^\perp}^{M_i}) = \{\pm\infty\}$ ,



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then  $\lim_{i \rightarrow \infty} \sigma(D_{|(S_i^{\text{aff}})^\perp}^{M_i}) = \{\pm\infty\}$ ,

and  $\sigma(D_{|S_i^{\text{aff}}}^{M_i})$  converges to  $\sigma(D^B)$  if  $n$  is even and to  $\sigma(D^B \oplus -D^B)$  if  $n$  is odd.

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### Theorem (Lott '02)

Let  $(f_i : (M_i, g_i) \rightarrow (B, h_i))_{i \in \mathbb{N}}$  be a collapsing sequence of Riemannian affine bundles. Assume that  $(M_i, g_i)$  is a spin manifold in  $\mathcal{M}(n, d)$ .

Then there exists a subsequence such that

- $\lim_{i \rightarrow \infty} \sigma(D_{|(S_i^{\text{aff}})^\perp}^{M_i}) = \{\pm\infty\}$ ,
- $\lim_{i \rightarrow \infty} \sigma(|D_{S_i^{\text{aff}}}^{M_i}|) = \sigma(|D_L^B|)$ , where  $D_L^B = \sqrt{\Delta + \mathcal{V}}$  acts on  $L^2(\mathcal{P}, \chi \, \text{dvol}^B)$ .

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### Remark

Above results also apply to the Hodge Dirac operator  $\not{D} = d + d^*$  on differential forms.

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Above results also apply to the Hodge Dirac operator  $\not{D} = d + d^*$  on differential forms.

There exist also analogous results for singular limit spaces.

## Example: Hodge Dirac vs. spin Dirac

Consider the collapsing sequence  $(T^2, ds^2 + \frac{1}{i^2} e^{2\cos(s)} dt)_i \in \mathbb{N}$  with limit space  $(S^1, ds^2)$ .

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### Hodge Dirac:

Affine parallel forms:  $S^{\text{aff}} = \{f(s)\} \cup \{\alpha(s)ds\}$ .

$$\mathcal{D}_{S^{\text{aff}}}^i(f + \alpha ds) = \frac{\partial f}{\partial s} ds + \frac{\partial}{\partial s} (e^{\cos(s)} \alpha).$$

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Calculated numerically:  $\{0; 0, 99; 1, 137\} \in \lim_{i \rightarrow \infty} \sigma(\mathcal{D}_{S^{\text{aff}}}^i)$ . But  $\sigma(\mathcal{D}^{S^1}) = \mathbb{Z}$ .

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### Spin Dirac:

By Ammann's result:  $\lim_{i \rightarrow \infty} \sigma(D_{S_i^{\text{aff}}}^i) = \sigma(D^{S^1} \oplus -D^{S^1})$ .



# Main result

## Theorem (R. '18)

Let  $(f_i : (M_i, g_i) \rightarrow (B, h_i))_{i \in \mathbb{N}}$  be a collapsing sequence of Riemannian affine fiber bundles such that  $(M_i, g_i)$  is a spin manifold in  $\mathcal{M}(n, d)$ . Then there exists a Clifford bundle  $\mathcal{P}$  over  $B$  and a first order elliptic self-adjoint differential operator

$$D_R^B = D^{T_\infty} + \gamma(Z_\infty) + \gamma(A_\infty)$$

with coefficients in  $C^{0,\alpha}$  on  $\mathcal{P}$  such that

$$\sigma(D_{S_i^{\text{aff}}}^{M_i}) \xrightarrow{i \rightarrow \infty} \sigma(D_R^B)$$

## Special case

### Corollary

If in addition,

- the holonomy of the vertical bundles is trivial,
- the intrinsic curvature of the fibers is flat,
- the horizontal distribution is integrable,

in the limit, then there is an induced spin structure on  $B$  and the spectrum of  $\sigma(D_{S_i^{\text{aff}}}^{M_i})$  converges to the spectrum of

$$\begin{cases} D^B \oplus D^B, & \text{if } n \text{ is even and } \dim(B) \text{ is odd,} \\ D^B, & \text{else.} \end{cases}$$

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**Main problem:** The operators  $D^{M_i}$  are not defined on the same space.

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Let  $P_i$  be the  $\text{Spin}(n)$ -principal bundle of  $(M_i, g_i)$ , let  $g_i^P$  be a Riemannian metric on  $P_i$  such that  $(P_i, g_i^P) \rightarrow (M_i, g_i)$  is a Riemannian submersion.

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There is an isometry  $\left( L^2(P_i, g_i^P) \otimes \Sigma_n \right)^{\text{Spin}(n)} \rightarrow L^2(\Sigma M_i)$ .



## Sketch of the proof II

By an equivariant version of Gromov's compactness result, there is a convergent subsequence

$$(P_i, g_i^P) \xrightarrow{i \rightarrow \infty} (B^P, h^P)$$

such that  $(B^P, h^P)$  is a Riemannian manifold and  $\text{Spin}(n) \curvearrowright (B^P, h^P)$  by isometries.

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By an equivariant version of Cheeger-Gromov-Fukaya's results, there are metrics  $h_i^P$  on  $B^P$  such that

$$\begin{array}{ccc} (P_i, g_i^P) & \xrightarrow{\tilde{f}_i} & (B^P, h_i^P) \\ \downarrow & & \downarrow \\ (M_i, g_i) & \xrightarrow{f_i} & (B, h_i), \end{array}$$

$\lim_{i \rightarrow \infty} \|h_i^P - h^P\|_{C^1} = 0$  and  $\tilde{f}_i : P_i^P \rightarrow B^P$  is a Riemannian affine fiber bundle.

## Sketch of the proof III

There is an isometry

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In total we obtain

$$\begin{array}{ccc}
 \left( L^2(P_i, g_i^P)^{\text{aff}} \otimes \Sigma_n \right)^{\text{Spin}(n)} & \longrightarrow & S_i^{\text{aff}} \\
 \downarrow & & \downarrow Q_i \\
 \left( L^2(B^P, h_i^P) \otimes \Sigma_n \right)^{\text{Spin}(n)} & \longrightarrow & \left( L^2(B^P, h^P) \otimes \Sigma_n \right)^{\text{Spin}(n)} \\
 & & \parallel \\
 & & L^2(\mathcal{P})
 \end{array}$$

## Sketch of the proof IV

Now  $(Q_i \circ D_{S_i^{\text{aff}}}^{M_i} \circ Q_i^{-1})_{i \in \mathbb{N}}$  is a sequence of operators on  $\mathcal{P}$  and

$$Q_i \circ D_{S_i^{\text{aff}}}^{M_i} \circ Q_i^{-1} = D^{T_i} + \gamma(Z_i) + \gamma(A_i).$$

## Sketch of the proof IV

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### Proposition

The coefficients of  $D^{T_i}$ ,  $Z_i$  and  $A_i$  are uniformly bounded in  $C^1(B)$ .

$\Rightarrow$  There is a subsequence such that the spectrum converges.



## Example: Convergence to Dirac eigenvalues

Let  $(G, g)$  be a closed simply-connected  $n$ -dimensional Lie group with a biinvariant metric.

Fix a maximal torus  $T^k$  and consider  $B := T^k \backslash G$  with the induced quotient metric  $h$ .

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Collapse along the  $T^k$  fibers

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Collapse along the  $T^k$  fibers

$$(G, g_i) \xrightarrow{i \rightarrow \infty} (B, h).$$

Check:

- vertical bundle has trivial holonomy,
- fibers are embedded flat tori,
- horizontal distribution is integrable in the limits.

Thus, the  $\sigma(D^i_{|S_i^{\text{aff}}})$  converges to the spectrum of  $D^B$ , resp.

$$D^B \oplus -D^B.$$

The end

Thank you!